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Substitute for form 1449/PTO

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INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

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of

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Application Number	10/691,989
Filing Date	October 23, 2003
First Named Inventor	Ramiro Arrez
Art Unit	3652
Examiner Name	J. Keenan
Attorney Docket Number	0343-0035

## U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
JK	1	US- 4,773,812	9/27/1988	Bayne et al.	
	2	US- 5,308,211	5/3/1994	Bayne	
	3	US- 5,333,984	8/2/1994	Bayne et al.	
	4	US- 5,447,405	9/5/1995	Bayne et al.	
	5	US- 5,503,512	4/2/1996	Bayne	
	6	US- 5,613,823	3/25/1997	Bayne	
	7	US- 5,762,462	6/9/1998	Bayne	
	8	US- 5,784,947	7/28/1998	Bayne et al.	
	9	US- 6,167,795	1/2/2001	Bayne et al.	
	10	US- 6,325,587	12/4/2001	Wysocki et al.	
	11	US- 6,357,988	3/19/2002	Bayne	
	12	US- 4,566,840	1/28/1986	Smith	
	13	US- 4,708,570	11/24/1987	Smith et al.	
	14	US- 5,209,537	5/11/1993	Smith et al.	
	15	US- 5,482,180	1/9/1996	Smith et al.	
	16	US- 5,484,245	1/16/1996	Zopf	
	17	US- 5,577,877	11/26/1996	Smith et al.	
	18	US- 5,607,277	3/4/1997	Zopf	
	19	US- 5,759,008	6/2/1998	Smith et al.	

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				

Examiner Signature

J. Keenan

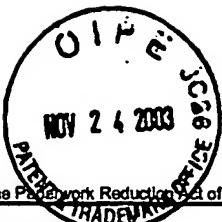
Date Considered

10/28/05

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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PTO/SB/08A (02-03)

Approved for use through 04/30/2003. OMB 0651-0031  
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## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

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**Complete if Known**

Substitute for form 1499-PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>				Application Number	10/691,989
				Filing Date	October 23, 2003
				First Named Inventor	Ramiro Arrez
				Art Unit	3652
				Examiner Name	J. Keenan
Sheet	2	of	2	Attorney Docket Number	0343-0035

**U. S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

**Examiner  
Signature**

J. Keenan

Date Considered

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			<u>Attorney Docket</u> 0343-0035	<u>Serial No.</u> 10/691,989		
LIST OF PUBLICATIONS CITED BY APPLICANT			<u>Applicant:</u> Ramiro Arrez et al.	<u>Title:</u> RECEPTACLE LIFTER WITH RETRACTABLE GRIPPER ARMS		
			<u>Filing Date:</u> October 10, 2003	<u>Group:</u> 3652		
U.S. PATENT DOCUMENTS						
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
JK	1,475,483	11-27-23	J. Galuska —			
	1,763,499	06-10-30	R. S. Bolger —			
	3,040,919	06-26-62	J. R. Nolan —			
	3,136,436	06-09-64	Erlinder et al. —			
	3,170,580	02-23-65	Victor G. Soyko —			
	3,270,902	09-06-66	E. R. Breault —			
	3,279,633	10-18-66	M. J. Evers —			
	3,327,876	06-27-67	B. M. Kolling —			
	3,516,562	06-23-70	J.W. Knight —			
	3,576,215	04-27-71	T. Cline —			
	3,576,265	04-27-71	de Cordova et al. —			
	3,730,365	05-01-73	Herpich —			
	3,762,586	10-02-73	Updike, Jr. —			
	3,773,197	11-20-73	Blakeley et al. —			
	3,822,802	07-09-74	Evans, Jr. —			
	3,837,512	09-24-74	Brown —			
	3,841,508	10-15-74	Ebeling et al. —			
	3,844,434	10-29-74	Blakeley et al. —			
	3,861,547	01-21-75	Sink, Sr. —			
	3,901,255	08-26-75	Pettit —			
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3,954,194	05-04-76	Stedman —				
3,964,624	06-22-76	Werder —				
3,978,999	09-07-76	Ryder —				
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
OTHER PUBLICATIONS (Including Author, Title, Date, Pertinent Pages)						
Not Yet Assigned Examiner: J. Keenan			DATE CONSIDERED: 10/28/05			
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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
JK	4,057,156	11-08-77	Thompson et al. —			
	4,090,626	05-23-78	Ebeling et al. —			
	4,091,944	05-30-78	Gollnick —			
	4,096,959	06-27-78	Schaffer —			
	4,113,125	09-12-78	Schiller —			
	4,175,903	11-27-79	Carson —			
	4,219,298	08-26-80	Stragier et al. —			
	4,227,849	10-14-80	Worthington —			
	4,230,359	10-28-80	Smith —			
	4,237,857	12-09-80	Sharp, Sr. —			
	4,295,776	10-20-81	Payne et al. —			
	4,313,707	02-02-82	Bingman et al. —			
	4,345,868	08-24-82	Rizzo et al. —			
	4,348,147	09-07-82	Helm —			
	4,363,588	12-14-82	Stickney —			
	4,372,726	02-08-83	Lutz —			
	4,401,407	08-30-83	Breckenridge —			
	4,427,333	01-24-84	Ebeling —			
	4,435,117	03-06-84	House —			
	4,450,828	05-29-84	Oaken et al. —			

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OTHER PUBLICATIONS  
(Including Author, Title, Date, Pertinent Pages)

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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
JKC	4,461,607	07-24-84	Smith —			
	4,461,608	07-24-84	Boda —			
	4,527,939	07-09-85	Suarez —			
	4,538,951	09-03-85	Yeazel et al. —			
	4,543,028	09-24-85	Bell et al. —			
	4,548,542	10-22-85	Reese —			
	4,557,658	12-10-85	Lutz —			
	4,575,300	03-11-86	George —			
	4,597,710	07-01-86	Kovats —			
	4,597,712	07-01-86	Smith —			
	4,669,940	06-02-87	Engelhardt et al. —			
	4,699,557	10-03-87	Barnes —			
	4,715,767	12-29-87	Edelhoff et al. —			
	4,722,658	02-02-88	Wurtz et al. —			
	4,726,726	02-23-88	Dossena et al. —			
	4,844,682	07-04-89	Edelhoff —			
	4,872,801	10-10-89	Yeazel et al. —			
	4,909,564	03-20-90	Pfeifer et al. —			

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OTHER PUBLICATIONS  
(Including Author, Title, Date, Pertinent Pages)

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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
K	4,936,732	06-26-90	Naab et al.			
	4,966,514	10-30-90	Knapp —			
	4,983,092	01-08-91	Richards —			
	4,992,018	02-12-91	Prout et al. —			
	5,002,450	03-26-91	Naab —			
	5,007,786	04-16-91	Bingman —			
	5,015,142	05-14-91	Carson —			
	5,015,143	05-14-91	Carson —			
	5,018,929	05-28-91	Carson —			
	5,026,104	06-25-91	Pickrell —			
	5,028,196	07-02-91	Richards —			
	5,035,563	07-30-91	Mezey —			
	5,049,026	09-17-91	Bingman et al. —			
	5,056,979	10-15-91	Niederer —			
	5,071,303	12-10-91	Carson —			
	5,071,307	12-19-91	Carson —			
	5,092,731	03-03-92	Jones et al. —			
	5,098,250	03-24-92	Carson —			
	5,114,304	05-19-92	Edelhoff et al. —			
	5,163,805	11-17-92	Mezey —			

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↓	5,205,698 5,230,393 5,266,000 5,303,841 5,333,984 5,344,272 5,360,310 5,391,039 5,466,110 5,470,187 5,505,576 5,513,942 5,551,824 5,562,386 5,601,392 5,639,201 5,720,589 5,755,547 5,769,592	04-27-93 07-27-93 11-30-93 04-19-94 08-02-94 09-06-94 11-01-94 02-21-95 11-14-95 11-28-95 04-09-96 05-07-96 09-03-96 10-08-96 02-11-77 06-17-97 02-24-98 05-26-98 06-23-98	Mezey — Mezey — LeBlanc — Mezey — Bayne et al. — Nuyts — Jones et al. — Holton — Redding — Smith et al. — Sizemore et al. — Pickrell — Zanzig et al. — Browning — Smith et al. — Curotto — Christenson et al. — Flerchinger et al. — Christenson —			
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		<u>Applicant:</u> Ramiro Arrez et al.	<u>Title:</u> RECEPTACLE LIFTER WITH RETRACTABLE GRIPPER ARMS			
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U.S. PATENT DOCUMENTS						
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
J.K.	5,775,867	07-07-98	Christenson —			
	5,791,861	08-11-98	Seelig —			
	5,797,715	09-29-98	Christenson —			
	5,807,056	09-15-98	Osborn et al. —			
	5,813,824	09-29-98	Zanzig et al. —			
	5,829,944	11-03-98	Szinte —			
	5,833,428	11-10-98	Szinte —			
	5,853,277	12-29-98	Everhart —			
	5,890,865	04-06-99	Smith et al. —			
	6,059,511	04-06-99	Anderson et al. —			
	6,095,744	08-01-00	Harrison —			
	6,139,244	10-31-00	VanRaden —			
	6,158,945	12-12-00	Anderson —			
	6,183,185	02-06-01	Zanzig et al. —			
	6,293,863	09-25-01	Carr et al. —			
	6,413,031	07-02-01	Yakley et al. —			
	6,439,667	08-27-02	Weets et al. —			
	6,325,587	12-04-01	Wysocki et al. —			
	6,551,046	04-22-03	Dorrington —			
	6,503,045	01-27-03	Arrez et al. —			
	20020119034	08-29-02	Arrez —			
	20020141854	10-03-02	Arrez —			
	20020141855	10-03-02	Arrez —			
	20030099529	05-29-03	Arrez —			
	FOREIGN PATENT DOCUMENTS					
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U.S. PATENT DOCUMENTS						
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JK	3,211,312 3,415,169 3,147,870 2,824,658 2,480,959 3,662,910	10-12-65 12-10-68 09-08-64 02-25-58 09-06-49 05-16-72	J. P. Miller M. Naddell G.E. Urban et al. W. A. Beasley H.E. Presnell Herpich et al.			
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
JK	WO91/05721 WO92/01612 WO93/25457 WO94/21540 EPO 078 011 EPO 312 900 EPO 405 428 DE1 226 035 SU1 253 892 DE2 051 270 BE 510235 DN 82300 2 080 757 A SU 751794 WO 91/00231 DE1 531 763	05-02-91 02-06-92 12-23-93 09-29-94 04-05-83 04-26-89 01-02-91 09-29-66  10-19-70 04-15-52 06-28-54 02-10-82  01-10-91 01-15-70	John Stephen Stephen Holtom David Borwning Jerald Zanzig Friedrich Kampwerth Bergomi S.p.A. Georg, Edgar W. Abitz et al. (In Russian) Jackisch, W. R. Rougement Tomrer Svend et al V.I.B. S.r.l. (In Russian) Georg, Edgar Holmstrom, Karl Josef			
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Artifact?  JK JK	(1) Perkins Mfg. Brochure: "The Original Rotary Tuckaway," Model D6080-20K, (2 pages) (2) Zöller-Kipper GMBH Brochure "Zöller System," (11 pages) (3) Bayne Brochure Thinline® Grabber Lifter, Model GTLS 1110, undated, (2 pages)					
	EXAMINER: Not Yet Assigned J. Keenan			DATE CONSIDERED: 10/28/05		
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	<u>Applicant</u> Ramiro Arrez	<u>Examiner Name</u> Not Yet Assigned
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**U.S. PATENT DOCUMENTS**

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JK	3,032,216	05/01/1962	McCarthy			
JK	3,327,876	06/27/1967	Kolling			
	3,732,997	05/15/1973	Reavis et al.			
	3,738,516	06/12/1973	Wells			
	3,747,785	07/24/1973	Dahlin			
	3,804,277	04/16/1974	Brown et al.			
	3,823,973	07/16/1974	Ramer			
	3,884,376	05/20/1975	Rivers			
	3,894,642	07/15/1975	Shive			
	3,931,901	01/13/1976	Jones			
	4,042,137	08/16/1977	Hughes et al.			
	4,365,922	12/28/1982	Borders			
	4,422,814	12/27/1983	Borders			
	4,479,751	10/30/1984	Wyman et al.			
	4,613,271	09/23/1986	Naab			
	4,673,327	06/16/1987	Knapp			
	4,687,405	08/18/1987	Olney			
	4,741,658	05/03/1988	Zelinka et al.			
	4,911,600	03/27/1990	Zelinka et al.			
	5,024,573	06/18/1991	Redding et al.			
	5,026,241	06/25/1991	Wyman			
	5,069,593	12/03/1991	Zelinka et al.			
	5,257,877	11/02/1993	Zelinka et al.			
	5,720,588	02/24/1998	Graves			
JK	3,730,365	05/01/1973	Herpich et al.			

Examiner: J. Keenan Date Considered: 10/28/05

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\*Examiner: not in conformance and not considered.

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LIST OF PUBLICATIONS CITED BY THE APPLICANT	<u>Attorney Docket No.</u> 0343-0035	<u>Serial No.</u> 10/691,989
	<u>Applicant</u> Ramiro Arrez	<u>Examiner Name</u> Not Yet Assigned
	<u>Filing Date</u> 10/23/2003	<u>Group</u> 3652

#### FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class Sub-Class	Filing Date
✓ DK	AT 243183	02/15/1965	Mullwagen		
✓ O	CA 622,499	06/20/1961	Dempster Brothers, Inc.		
✓	FR 2 272 002	12/19/1975	CIE Plastic Omnium		
✓	FR 2 459 779	01/16/1981	Decauville SA		
✓	FR 2 479 783	10/09/1981	Societe D'Equipments		
✓	FR 2 500 425	08/27/1982	Chaudronnerie Tolerie		
✓	DE 811 456	08/20/1951	Hans Zoller		
✓	DE 844 262	03/21/1952	Daimler-Benz		
✓	DE 1 236 403	03/09/1967	Jakob Naab		
✓	DE 2 146 653	03/29/1967	Sulo Eisenwerk Streuber		
✓	DE 30 24 081	01/08/1981	Manutentions et Trans.		
✓	WO 83/03242	09/29/1983	Wastemovers Pty. Ltd.		
✓	CH 358747	01/15/1962	Hans Zoller		
✓	CH 453203	06/14/1968	Weinmann		
✓	GB 291,709	06/07/1928	Brewer & Son		

Examiner: J. Keenan      Date Considered: 10/28/05

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Examiner: J. Keenan

**Date Considered:**

10/28/05

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	<u>Filing Date</u> 10/23/2003	<u>Group</u> 3652

#### OTHER PUBLICATIONS

Examiner Initial	Include name the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, etc.), date, page(s), volume issue number(s), publisher city and/or country where published
JK	Lifting Mechanism for a Sanitation Vehicle, U.S. patent application Ser. No. 378,823, filed May 12, 1982, now abandoned (bearing production Nos. B984-1005), with photographs (dated Dec., 1981) (bearing production Nos. B1082-1097), photographs of a present version of the above cited reference (bearing production Nos. B1098-1110A), and other related documents (bearing production Nos. 935-937, 939, 941-947, 948-977, 979, 981, 983, 1006-1025, and 1111-1125).
✓	Refuse Removal Systems, Inc., "Waste Wheeler," Waste Age, 1982 (with photographs of the device bearing production Nos. B913-922).
✓	Rubbermaid "Mobile Toter."
✓	McKagen, World Wastes, "Supercan! Can...And Does," Jun. 1983, pp. 24-26.
✓	Waste Age, Mar. 1982, pp. 31-33.
✓	Zarn Model "D" sales brochure, printed prior to March 20, 2002.
✓	Taskmaster Lifter features/specifications from: <a href="http://www.baynethinline.com/products-taskmaster.htm">http://www.baynethinline.com/products-taskmaster.htm</a>

Examiner:	J. Keenan	Date Considered:	10/28/05
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	<u>Filing Date</u> 10/23/2003	<u>Group</u> 3652

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JK	Arrez et al., U.S. Patent Application Serial No. 10/767,041, filed January 29, 2004, entitled "Heavy Duty Cart Lifter."
JK	U.S. Patent Application Serial No. 10/718,336 filed November 20, 2003, entitled "Front Mounted Lifter for Front Load Vehicle."

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